

09/24/99  
JCS53 U.S. PTO

Please type a plus sign (+) inside this box [ + ]

PTO / S B / 0 5 ( 1 2 / 9 7 )

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY PATENT APPLICATION TRANSMITTAL**  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 003692.P040

Total Pages 2

First Named Inventor or Application Identifier Balu Balakrishnan

Express Mail Label No. EL4331684867US

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, D. C. 20231

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  X  Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2.  X  Specification (Total Pages  92 )  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
3.  X  Drawings(s) (35 USC 113) (Total Sheets  13 )
4.  X  Oath or Declaration (Total Pages  5 )
  - a.  X  Newly Executed (Original or Copy)
  - b.   Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed) (**Note Box 5 below**)
  - i.    DELETIONS OF INVENTOR(S)  Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5.   Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.   Microfiche Computer Program (Appendix)

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7. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Copy  
b. ☐ Paper Copy (identical to computer copy)  
c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. ☒ Assignment Papers (cover sheet & documents(s))  
9. ☐ a. 37 CFR 3.73(b) Statement (where there is an assignee)  
☒ b. Power of Attorney  
10. ☐ English Translation Document (if applicable)  
11. ☐ a. Information Disclosure Statement (IDS)/PTO-1449  
☐ b. Copies of IDS Citations  
12. ☐ Preliminary Amendment  
13. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  
14. ☐ a. Small Entity Statement(s)  
☐ b. Statement filed in prior application, Status still proper and desired  
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)  
16. ☒ Other: Separate Sheet: Certificate of Mailing with Attorney Signature and copy of  
return postcard.

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:  
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)  
of prior application No: ☐

18. **Correspondence Address**

☐ Customer Number or Bar Code Label   
(Insert Customer No. or Attach Bar Code Label here)  
or

☒ Correspondence Address Below

NAME James Y. Go  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard  
Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026  
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Express Mail Label: EL431684867US

12/01/97

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PTO/SB/05 (12/97)

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

**FEE TRANSMITTAL****EXPRESS MAIL NO. EL431684867US****Complete if Known:**Application No. Not Yet AssignedFiling Date September 24, 1999First Named Inventor Balu BalakrishnanGroup Art Unit Not Yet AssignedExaminer Name Not Yet AssignedAttorney Docket No. 003692.P040**METHOD OF PAYMENT (check one)**

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name \_\_\_\_\_

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.131(b)

2. ☒ Payment Enclosed

☒ Check☐ Money Order☐ Other**FEE CALCULATION (fees effective 10/01/97)****1. FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	760	201	380	Utility application filing fee	760.00
106	310	206	155	Design application filing fee	
107	480	207	240	Plant filing fee	
108	760	208	380	Reissue filing fee	
114	150	214	75	Provisional application filing fee	
SUBTOTAL (1)					\$ 760.00

**2. CLAIMS**

		Extra		Fee from below		Fee Paid
Total Claims	<u>112</u> - 20 =	<u>92</u>	X	<u>18.00</u>	=	<u>1,656.00</u>
Independent Claims	<u>15</u> - 3 =	<u>12</u>	X	<u>78.00</u>	=	<u>936.00</u>
Multiple Dependent Claims			X		=	

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of twenty	1,656.00
102	78	202	39	Independent claims in excess of 3	936.00
104	260	204	130	Multiple dependent claim	
109	78	209	39	Reissue independent claims over original patent	
110	18	210	9	Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					\$ 3,352.00

# **FEE CALCULATION (continued)**

## **3. ADDITIONAL FEES**

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	
116	380	216	190	Extension for response within second month	
117	870	217	435	Extension for response within third month	
118	1,360	218	680	Extension for response within fourth month	
128	1,850	228	925	Extension for response within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive unavoidably abandoned application	
141	1,210	241	605	Petition to revive unintentionally abandoned application	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	760	246	380	For filing a submission after final rejection (see 37 CFR 1.129(a))	
149	760	249	380	For each additional invention to be examined (see 37 CFR 1.129(a))	

Other fee (specify) \_\_\_\_\_

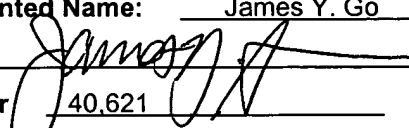
Other fee (specify) \_\_\_\_\_

SUBTOTAL (3) \$ 40.00

\*Reduced by Basic Filing Fee Paid

**TOTAL AMOUNT OF PAYMENT (\$)** \$ 3,392.00

**SUBMITTED BY:** \_\_\_\_\_

Typed or Printed Name: James Y. Go  
 Signature  Date 9-24-99  
 Reg. Number 40,621 Deposit Account User ID \_\_\_\_\_ (complete if applicable)

Our Reference: 003692.P040

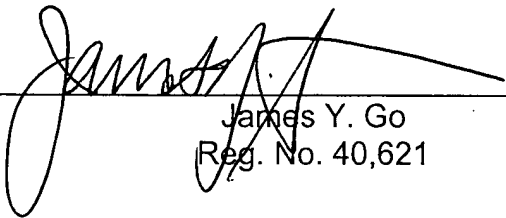
Patent

METHOD AND APPARATUS PROVIDING A MULTI-FUNCTION TERMINAL FOR A  
POWER SUPPLY CONTROLLER

Inventors: Balu Balakrishnan, Alex B. Djenguerian and Leif O. Lund

Respectfully submitted,

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

  
James Y. Go  
Reg. No. 40,621

"Express Mail" mailing label number: EL431684867US

Date of Deposit: September 24, 1999

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

Melanie Besecker

(Typed or printed name of person mailing paper or fee)

Melanie Besecker 9-24-99

(Signature of person mailing paper or fee)

(Date signed)

Serial/Patent No.: Not Yet Assigned Filing/Issue Date: Not Yet Assigned  
Client: Power Integrations, Inc.  
Title: METHOD AND APPARATUS PROVIDING A MULTI-FUNCTION TERMINAL FOR A POWER SUPPLY CONTROLLER

BSTZ File No.: 003692.P040

Atty/Secty Initials: JYG:mmb

Date Mailed: September 24, 1999

Docket Due Date:

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- ☐ Amendment/Response (\_\_\_\_ pgs.)
- ☐ Appeal Brief (\_\_\_\_ pgs.) (in triplicate)
- ☒ Application - Utility (92 pgs., with cover and abstract)
- ☐ Application - Rule 1.53(b) Continuation (\_\_\_\_ pgs.)
- ☐ Application - Rule 1.53(b) Divisional (\_\_\_\_ pgs.)
- ☐ Application - Rule 1.53(b) CIP (\_\_\_\_ pgs.)
- ☐ Application - Rule 1.53(d) CPA Transmittal (\_\_\_\_ pgs.)
- ☐ Application - Design (\_\_\_\_ pgs.)
- ☐ Application - PCT (\_\_\_\_ pgs.)
- ☐ Application - Provisional (\_\_\_\_ pgs.)
- ☒ Assignment and Cover Sheet - 4 pgs
- ☒ Certificate of Mailing
- ☒ Declaration & POA (6 pgs.)
- ☐ Disclosure Docs & Orig & Copy of Inventor's Signed Letter (\_\_\_\_ pgs.)
- ☒ Drawings: 13 # of sheets includes 14 figures

- ☒ Express Mail No.: EL431684867US Check No. 102
- ☐ \_\_\_\_\_ Month(s) Extension of Time Amt: 3,392.00
- ☐ Information Disclosure Statement & PTO 1449 (\_\_\_\_ pgs.) ☐ Check No. \_\_\_\_\_
- ☐ Issue Fee Transmittal Amt: \_\_\_\_\_
- ☐ Notice of Appeal
- ☐ Petition for Extension of Time
- ☐ Petition for \_\_\_\_\_
- ☒ Postcard
- ☐ Power of Attorney (\_\_\_\_ pgs.)
- ☐ Preliminary Amendment (\_\_\_\_ pgs.)
- ☐ Reply Brief (\_\_\_\_ pgs.)
- ☐ Response to Notice of Missing Parts
- ☐ Small Entity Declaration for Indep. Inventor/Small Business
- ☒ Transmittal Letter, in duplicate
- ☒ Fee Transmittal, in duplicate

☐ Other: seperate sheet: Certificate of Mailing with copy of Postcard and Attorney signature

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09/405209  
09/24/99